

As you know, the Office of the Comptroller of the Currency, the Federal Deposit Insurance Corporation and the Federal Reserve Board, have adopted a policy statement proposed by the Federal Financial Institutions Examination Council, recommending that a bank's board of directors review and approve securities dealers to be used by the bank. Factors to be considered in evaluating a securities dealer include the firm's financial condition and general reputation, any regulatory actions against the firm, and the background of the sales representative servicing the bank.

We would like to address those guidelines so that Country Club Bank may be approved as one of your securities dealers.

Country Club Bank is one of only a few Dealer Banks in the Midwest. Many of our investment personnel are known and respected throughout regional and national investment banking. In 2014 our President and CEO Paul Thompson was elected to serve on the board of the Kansas City Federal Reserve Bank. As a member of this board he provides the perspective of the challenges community bankers face every day.

Members of the Country Club Bank Capital Markets Group have had the privilege of addressing the bank examining staffs of the Federal Reserve Bank, Federal Deposit Insurance Corporation, State of Missouri, State of Kansas, the Office of the Comptroller of the Currency, and various industry guilds, in an investment education capacity.

Seasoned individuals who are recognized within the industry for their expertise and integrity staff the Capital Markets Group. In fact, our investment staff averages more than 20 years of experience in the business.

If you have questions regarding Country Club Banks registration as a bank-dealer, please feel free to contact our regulator, Janae Culp, with the Federal Reserve Bank.

Contact Information:

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Resource Management & Operations  
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We look forward to having the opportunity to be of service to your bank.

Sincerely,



Douglas Axon  
Chief Administrative and Operating Officer